## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Robert Falotico Confirmation No. 7706

Serial No.: 10/813,965 Art Unit: 1628

Filed: March 31, 2004 Examiner: Jennifer M. Kim

For : SOLUTION FORMULATIONS OF SIROLIMUS AND ITS ANALOGS

FOR CAD TREATMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §\$1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

Applicant(s) reserve(s) the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified national application (other than a continued prosecution application under §1.53(d)),

within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of a request for continued examination under §1.114, no additional fee is required.

	In acco	ordance with §1.129(a), this Information
Disclosure	Staten	ment is being filed in connection with $\square$ the
first or [	second	After Final Submission, therefore:
	☐ S	tatement in Accordance with $\S1.97$ (e) (attached);
	0	c
	P	lease charge Deposit Account No. 10-
	0	750/ / the fee of $$180.00$ as set forth
	i	n §1.17(p).
	In acco	ordance with §1.97(c), this Information
Disclosure		ment is being filed after the period set forth
		but before the mailing date of either a Final
Ü		13 or a Notice of Allowance under §1.311, or an
	J	wise closes prosecution and that it is
accompanie	d by or	ue of:
	□ S <sup>2</sup>	tatement in Accordance with §1.97(e) (attached);
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	P	lease charge Deposit Account No. 10-
	0	750/ / the fee of $$180.00$ as set forth
	i	$n \S 1.17(p)$ .
	In acco	ordance with §1.97(d), this Information
Disclosure		ent is being filed after the mailing date of
either a F	inal Ad	tion under §1.113 or a Notice of Allowance
under §1.3	311 but	before the payment of the Issue Fee.
Applicant(	s) here	by petition(s) for consideration of this

Accordance with §1.97(e) as set forth below and the fee of \$180.00 as set forth in §1.17(p).

Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.

Copies of references listed on the attached Form PTO-1449 are enclosed herewith EXCEPT THAT:

In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.

If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

Information Disclosure Statement. Included are: Statement in

 $\square$  Copies of only foreign patent documents and non-patent literature are enclosed in accordance with 37 CFR 1.98 (a)(2).

U.S.S.N. 09/948,987 is cited to correct the mentioned issued U.S. patent. The correct patent number is "6,764,507" rather than "6,764,607".

Edelman is cited to correct the previously "EDELMAN" citation. The correct Volume for the Edelman citation is "81" rather than "91.".

Tanaka is cited to correct the previously "TANAKA" citation. The correct year for the Tanaka citation is "1993" rather than "19930".

$\boxtimes$	There are no listed references which are not in the
English la	anguage.
	The relevance of those listed references which are not
in the Eng	glish language is as follows:
	Attached are copies of search report(s) from
correspond	ding patent application(s), which are listed on the
attached S	Submission Under MPEP 609 D.
	Attached are the following non-published pending
patent app	olications which may be deemed relevant, which are
listed on	the attached Submission Under MPEP 609 D.

Please charge any deficiency or credit any overpayment to Deposit Account No. 10-0750/CRD5073USNP/CJE.

Respectfully submitted,

/Carl J. Evens/

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